

FOREWORD to the 2008 CHSA HANDBOOK

The Caspian Horse Society of the Americas (“CHSA”) was incorporated May 26th, 1994 to provide an organization to promote the breed and to maintain a permanent registry in the Western Hemisphere devoted wholly to the interests of the Caspian horse. The CHSA is chartered under the laws of the State of Texas as a non-profit corporation. The Society is a recognized, tax-exempt organization under Section 501 (C) (5) of the United States Internal Revenue Code.

The CHSA is very happy that Mrs. Louise Firouz, the discoverer of the Caspian horse in 1965 in Iran and author of several works on the Caspian horse; Mr. Elwyn Hartley Edwards, author of numerous books on horses and equine related subjects, including *The Ultimate Horse Book* and the new *The Encyclopedia of the Horse*; and Dr. E. Gus Cothran, equine geneticist at Texas A&M University in College Station, Texas, an expert in Caspian genetics; have agreed to serve on our Board as Honorary Directors.

The CHSA is the only *International Caspian Society* (ICS)-approved registry in the United States and the world requiring positive identification of each horse in its registry by DNA testing, parentage verification and microchip implantation. As defined by the CHSA, any horse that is not a true, pure-blood Caspian is not eligible for registration in the CHSA Pure-blood “A” registry. Any horse that is not a true, part-blood Caspian is not eligible for registration in the CHSA Part-blood “B” registry.

Membership in CHSA is available to all persons who subscribe to the objectives of the Caspian Horse Society of the Americas, agree to abide by its bylaws, rules and regulations, and who apply for membership. Regular members are those persons who have registered with the CHSA one or more horses and who have paid their membership fee. Associate members are persons who do not have horses registered with CHSA. Youth members are those persons under age eighteen (18) who are interested in the objects and purposes of the Society but who may or may not own a Caspian at the time of application for membership. All regular members are entitled to vote on any Society matters, whereas Associate and Youth members do not have voting privileges. All memberships are on a calendar year and expire December 31. Membership is recommended for all Caspian horse owners as registration fees are considerably lower for members. Lifetime memberships are available.

The *CASPIAN HORSE NEWS*, published by the CHSA, is the best source of information concerning the Caspian horses in the Western Hemisphere. This publication provides articles by experts in various fields of the horse world, advertisements, articles, sales lists and more.

Caspian horse shows in Europe give breeders and exhibitors opportunities to compete for awards. The CHSA is working to establish an annual *Caspian Nationals* show for CHSA members in the Western Hemisphere.

This handbook presents the current Bylaws, Rules and Regulations, Ethics Committee Procedures and Guidelines, Caspian Type and Standards and a new appendix with the *ICS* Constitutions and Regulations. Any questions may be addressed to:

THE CASPIAN HORSE SOCIETY OF THE
AMERICAS

P.O. Box 1589

Brenham, Texas 77834-1589

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C.H.S.A. HANDBOOK

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**ARTICLES OF INCORPORATION OF
THE CASPIAN HORSE SOCIETY OF
THE AMERICAS**

We, the undersigned natural persons above the age of twenty-one (21) years, all of whom are citizens and residents of the State of Texas, acting as Incorporators of a corporation under the Texas Non-Profit Corporation Act, do hereby adopt the following Articles of Incorporation for such corporation:

ARTICLE I

The name of the corporation is *THE CASPIAN HORSE SOCIETY OF THE AMERICAS*.

ARTICLE II

- (A) The Corporation is a *non-profit corporation*.
- (B) The corporation shall be organized and operated exclusively for educational, scientific, and animal husbandry purposes. No part of its net earnings shall inure to the benefit of any officer, director, or private individual, nor shall it ever declare or make to any of such persons any dividend or other distribution.
- (C) Nothing herein contained shall prevent the payment of reasonable compensation for services rendered or the reimbursement of reasonable expenses incurred in connection with the corporation's affairs.

ARTICLE III

The period of the duration of the corporation is *perpetual*.

ARTICLE IV

The purposes for which this corporation is formed are exclusively educational, scientific, and animal husbandry, defined as follows:

- (A) Aid and encourage the breeding, exhibiting, use and perpetuation of Caspian horses; promote and coordinate Caspian horse show activities; promote and encourage exhibiting of Caspian horses in open classes; coordinate and cooperate with the American Horse Shows Association in providing qualified judges for Caspian horse shows; and in devising and adopting Caspian horse show rules, regulations and standards.
- (B) Cooperate with other organizations with similar interests in Caspian horses.
- (C) Formulate publicity and educational programs and other activities in the interest of Caspian horse owners, Caspian horse associations, clubs and enthusiasts, for the purpose of stimulating popular interest in the Caspian horse.
- (D) Own, operate, and maintain a registry and stud book for Caspian horses in the Western Hemisphere, either solely or in cooperation with other organizations.

- (E) Do any and all things necessary or appropriate to accomplish objects and purposes as stated herein and as set forth in the articles of incorporation.
- (F) To engage in any manner of business to raise money for the purposes above recited.
- (G) To engage in any and all forms of business transactions or enterprises a natural person might do, except as limited by law.
- (H) To receive donations, bequests, and devises of property, both real and personal.
- (I) To own, hold, purchase, trade, sell, exchange and deal in, and otherwise dispose of, all kinds of real and personal property.

ARTICLE V

The corporation shall have no capital stock or shares.

ARTICLE VI

- (A) The authorized members and qualifications of the members, the different classes of membership, if any, and other rights and privileges of each class of members and the liability of each and all classes to dues and the methods of collection thereof, shall be provided in the By-Laws of this corporation duly adopted.
- (B) The affairs of the corporation shall be managed by a Board of Directors, whose number, term and qualifications, except as otherwise may be provided for in these articles or by Texas law, shall be prescribed in the By-Laws, but in no event shall the number of Directors be less than three (3).

ARTICLE VII

- (A) The corporation shall not carry on political propaganda or otherwise try to influence legislation.
- (B) The corporation shall not participate or intervene in any political campaign on behalf of any candidate for office.
- (C) The corporation shall not carry on any activity not permitted a corporation exempt from Federal income tax under Section 501 (C) (5) of the *Internal Revenue Code of the United States* (or the corresponding provisions of any such subsequent law).

ARTICLE VIII

Upon dissolution or liquidation, whether voluntary or involuntary, the net assets of the corporation shall be distributed to one or more educational, or charitable organizations exempt from federal income tax under Section 501 (C) (5) of the *Internal Revenue Code of the United States* (or the corresponding provisions of any such future law).

ARTICLE IX

The street address of the initial registered office of the corporation is 7504 Highway 105, Rt. 7, Brenham, Texas 77833, and the name of the initial registered agent at such address is Sister Angela Chandler, O.S.C.

ARTICLE X

The number of Directors constituting the initial Board of Directors of the corporation is four and the names and residence address of the persons who are to serve as the initial Directors are:

		Term Expires *
Sister Angela Chandler, O.S.C. Monastery of St. Clare		5/1/95
	Rt.7, Box 7504	
	Brenham, TX 77833	
Patrick S.J. Carmack	8550 Kenosha Drive	5/1/96
	Colorado Springs, CO	
	80908	
Patricia A Love	447 Mignon Lane	5/1/97
	Houston, TX 77024	
John J. Garza	Rt. 7, Box 7504	5/1/98
	Brenham, TX 77833	

*or until successors are elected and qualified.

ARTICLE XI

The name, signature and street address of each Incorporator is:

Sister Angela Chandler, O.S.C. Monastery of St. Clare	
	Rt. 7, Box 7504
	Brenham, TX 77833
Patricia A Love	447 Mignon Lane
	Houston, TX 77024
John J. Garza	Rt. 7, Box 7504
	Brenham, TX 77833

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**BYLAWS OF
THE CASPIAN HORSE SOCIETY OF
THE AMERICAS**

**ARTICLE I
NAME**

Pursuant to its Articles of Incorporation under the laws of the State of Texas, the name of this organization shall be The Caspian Horse Society of the Americas, and it shall be a non-profit corporation.

**ARTICLE II
OBJECTS**

The objects of *The Caspian Horse Society of the Americas* shall be those stated in Article IV of its Articles of Incorporation.

**ARTICLE III
LOCATION**

The principal place of business of the Society shall be determined by the Board of Directors subject to approval of the membership at the next annual meeting other than in case of emergency. Its members, Officers or Directors may be residents of any state, territory, or country.

**ARTICLE IV
MEMBERS**

Section 1. Membership

Membership shall be open to all persons who subscribe to the objects of *The Caspian Horse Society of the Americas*, agree to abide by its rules and regulations, and who apply for membership. Members of the Society shall be admitted, retained, reprimanded, fined, suspended or expelled in accordance with such rules and regulations as the membership may, from time to time adopt. In all matters of elections governed by a vote of the members, each regular and lifetime member in good standing who has attained the age of eighteen (18) years shall be entitled to one vote. Associate and Youth members shall not be entitled to vote.

Section 2. Classes of Membership

(A) Regular Members

Regular Members are those persons who own an interest in and have registered with the Society one or more Caspian Horses, who have applied for and been accepted to membership in the Society, by the Board of Directors or their designee and who are not in arrears in payment of their annual dues. Members owning a divided or fractional interest in a CHSA registered Caspian, may vote only their fractional interest(s), resulting in a fractional vote (*e.g.* 50% interest = 1/2 vote), not to exceed one (1) vote.

Up to five directors or managers of a Canadian Provincial Corporation, a U.S. partnership, limited liability company, or corporation which have paid for regular membership, or lifetime memberships, in the name of a director or manager nominated by the organization, and which organization owns one or more Caspian horses registered with the Society, may vote in lieu of such organization, provided that each such organization shall be limited to one (1) vote per registered horse, up to a maximum of five (5) votes (including spouses).

Only individual's names may appear on the membership list. No person's name may appear on the membership list more than once. If an organization, as above, with a registered Caspian horse(s) nominates a manager(s) or director(s) for membership, pays the fees therefore, and presents the Secretary with satisfactory evidence of such organizational existence, the Secretary shall enter said individual(s) named, on the Membership Roll, up to a maximum of five (5) persons per organization (including spouses), with the notation that the member is enrolled in lieu of the sponsoring organization.

Nothing in this section shall prevent any entity from registering Caspian horses, in either the "A" or "B" registries, using the organizational name as owner. But no organization as such may be a member of the Society or have its name entered on the Membership Roll.

(B) Lifetime Members

Lifetime Members are those persons who own an undivided or community property interest in and have registered with the Society one or more Caspian Horses, who have applied for and been accepted to membership in the Society, by the Board of Directors or their designee and who have paid their lifetime fee. Lifetime members shall pay no annual dues. For purposes of these Bylaws the term "Regular Member" shall be deemed to include "Lifetime Member," except where otherwise specified.

(C) Associate Members

Associate members are those persons who are interested in the objects and purposes of the Society but who do not own a Caspian horse at the time of application for membership, who have applied for and been accepted to membership in the Society, by the Board of Directors of their designee and who are not in arrears in payment of their annual dues.

(D) Youth Members

Youth members are those persons under age eighteen (18) who are interested in the objects and purposes of the Society but who may or may not have a Caspian at the time of application for membership.

(E) Syndicates

Syndicates as such shall have no voting rights.

(F) Non-Transferable

Membership shall not be transferable.

Section 3. Dues

Each Regular, Associate, and Youth Member shall pay annual dues and each Lifetime Member shall pay a one-time, lifetime fee to the Society, in such amounts as the Board of Directors may prescribe. Annual dues shall not be prorated and shall be for the calendar year. Renewal annual membership fees are due January 1st of each year. Membership for spouses in all three classes shall be allowed by payment of a single fee, but not more than two memberships per fee shall be allowed. In extraordinary cases, for services to the Society and its purposes, the Board of Directors or the Executive Secretary may admit to membership certain persons without their application, and may waive the membership and/or registration fees either permanently or for a time, provided that such members must still meet the Caspian horse ownership requirements set forth in Article IV. Section 2. (A).

Section 4. **Application**

Membership in the Society is a privilege, not a right, and all applications shall promptly be referred to the Board of Directors or their designee for the class of membership applied for, and applicant will promptly be notified of action taken. Application shall be made on completed forms and include payment of an initiation fee as may be prescribed from time to time at the Annual Meeting.

Application may be approved by the Board of Directors or their designee, but only the Board of Directors shall have the power to reject said application. Should a change in class of membership be desired, one must file a new application for that class, and be approved for that class.

Section 5. **Liability**

No member shall be personally liable for debts, liabilities or obligations of the Society, except as provided by law.

ARTICLE V CORPORATE POWERS

Section 1. **Division of Power**

The corporate powers of *The Caspian Horse Society of the Americas* shall be those provided by the law and the Articles of Incorporation and shall be administered as provided in this Article.

Section 2. **Powers of the Members**

(A) Enumeration

The Regular Members shall have the power and authority to make, amend, repeal, and enforce such rules and regulations, not contrary to law or the Articles of Incorporation or these Bylaws, as they may deem expedient and necessary concerning the conduct, management and activities of the Society, including but not limited to the collection of dues and fees, regulations regarding stud book, registration, the expenditures of money, the auditing of books and records, the awarding of championships, the conducting of shows, contests, exhibitions, sales, social functions and other details relating to the general purposes of the Society. All of the foregoing are subject to revision or amendment by the Board of Directors at any regular or special meeting of the Board of Directors, and shall not be effective until five (5) days after approval by the members.

(B) Other Powers

The Regular Members at any Annual Meeting or Special Meeting provided for in Article VI hereof, may by resolution take any other action not inconsistent with law, with the Articles of Incorporation, or with these Bylaws.

Section 3. **Powers of the Board of Directors**

(A) Exclusive Powers

The Board of Directors shall have exclusive powers to enact, repeal and amend the Bylaws; amend the Articles of Incorporation; and dissolve the corporation.

(B) Committees

The Board of Directors shall have the power to create and empower all committees both standing and special, from time to time, and to appoint their members. All committees, both standing and special, will be subordinate to the Board of Directors.

(C) Executive Secretary

The Board of Directors shall have the power to employ or appoint a salaried or non-salaried staff head who shall have the title of Executive Secretary. The Executive Secretary shall perform such duties as are assigned to her by the President and Board of Directors. He shall be in charge of the Society's office, including personnel functions and job descriptions and custodian for safe keeping of all documents and records of the Society and authority for pedigrees. She shall cause to be executed the certificates of registration demanded and proper to be issued by the Society, and shall keep a record of the same. She shall collect all monies due the Society and deposit same in a timely manner in the Society's bank account. She shall edit and compile the *Caspian Horse Society of the Americas' Stud Book*. She shall make a report of her office to the Board of Directors when demanded and to all Annual Meetings. She shall serve as registered agent of the Society, and Registrar for the registry. She is empowered to enter into agreements with members or non-members to allow their use of the CHSA and the CHSA logo in advertising or otherwise in print or video, when such person agrees to pay for all or a substantial part of the advertising, and when, in the judgment of the Executive Secretary, such advertising will promote the CHSA or its purposes; in such cases she may agree with the payer to restrictive terms regarding others' (including other members') use of the advertising, printing or video.

Section 4. Powers of Officers

The Officers of the Society shall have those powers delegated to them by these Bylaws and such additional powers as may be delegated by the Annual Meeting or by the Board of Directors.

Section 5. Indemnity

At the direction of the Board of Directors, the Directors, Officers, committee members, appointed persons and employees of *The Caspian Horse Society of the Americas* shall be indemnified and insured in accordance with Article 1396-2.22A of the Texas Non Profit Corporation Act.

Section 6. Surety Bonds

The Executive Secretary and the Treasurer and all other Officers or employees of the Society who may handle funds of the Society shall give a surety bond to be furnished at the expense of the Society for the faithful discharge of his or her duties, if so required by the Board of Directors.

ARTICLE VI

MEETINGS

Section 1. Annual Meeting

The Annual Meetings of the Society may be held at such time and place, or by mail addressed to all members, as shall be determined by the previous Annual Meeting, or later by the Board of Directors.

Section 2. Special Meetings

Special Meetings of the members may be held at such time and place as may be designated in the notice thereof, whenever such notice shall be mailed by direction of a majority of the Board of Directors or

by notice signed by no less than 50% (fifty percent) of the regular members as of May 1st immediately preceding such notice.

(A) Notice

Notice of a special meeting, except one held by mail, shall be given by publishing such notice in the *Caspian Horse News*, or by mailing written notice to member's address as shown in the Society's records, at least thirty (30) days prior to such meeting, stating the time and place of such meeting to all members of the Society.

(B) Business

Only items specified in the notice of the special meeting can be transacted at such meetings.

Section 3. Attendance

All members in good standing of *The Caspian Horse Society of the Americas*, whether Regular, Lifetime, Associate, or Youth shall be entitled to attend any Annual or Special Meeting of the Society, and shall have floor privileges.

Section 4. Voting

(A) Regular Members

All Regular Members who have attained the age of eighteen (18) years and have been regular members for more than thirty (30) days preceding such meeting are entitled to vote on any matter before the Annual Meeting or any special meeting.

(B) Associate Members

All Associate and Youth Members are entitled to speak concerning any matter before the Annual or any special meeting but are not entitled to vote.

(C) Quorum

At any meeting of the members of the Society a quorum to do business shall consist of the majority of the largest number of Regular Members that have registered at such meeting. No quorum shall be necessary when a meeting is held by mail addressed to all members.

(D) Proxies

Voting by written, executed and dated proxy shall be permitted.

(E) Ordinary Measures

A majority of the votes cast shall be necessary for the adoption of any measure.

(F) Presiding Officer

The senior Officer of the Society at any Annual or special meeting shall preside thereat and may not vote except in the case of a tie, or in situations either to create or break a tie. The presiding Officer may exercise his/her right as a voting member to vote or not to vote.

ARTICLE VII DIRECTORS

Section 1. Enumeration

(A) Number of Directors

There shall be five (5) Directors.

(B) Composition of Board

The Board of Directors shall consist of the President, the Vice President(s), the Secretary and the Treasurer.

(C) Responsibility of Board

The Board of Directors shall manage the affairs and assets of the Society.

(D) Honorary Directors

The Board of Directors may appoint Honorary Directors and they shall be non-voting directors.

Section 2. Qualifications

(A) Prerequisite

Each person prior to being nominated for Director shall be a qualified Regular or Lifetime Member and not have a family member, life partner, or business partner currently serving on the Board. Each Director shall at all times during his/her term in office be a qualified Regular or Lifetime Member.

(A) Duration of Term

Section 3. Terms

Directors shall be elected to office for a term of five years, or until their successors are elected and qualified, to take office immediately after election. Directors' terms shall be staggered, i.e., one Director's term shall expire each year beginning in 1995.

(B) Initial Directors

Initial Directors are set forth in the Articles of Incorporation.

(C) Elections

One Director shall be elected each year at the Annual Members Meeting. If no nominee receives a majority of the votes, then a run-off of the two highest vote-getters shall be held to determine the outcome. Nominations and elections may be conducted by mail at the discretion of the Board.

Section 4. Vacancies

In case of any vacancy on the Board of Directors for any reason the vacancy shall be filled by the Board of Directors.

Section 5. Meetings

(A) Annual Board Meeting

The Annual Meeting of the Board of Directors shall be held immediately following the Annual Meeting of the members. No notice shall be required for such meeting. The Board of Directors may provide for other regular meetings at stated times and places.

(B) Special Meetings

Special Meetings of the Board of Directors shall be held whenever called at the direction of the President or by any two of the members of the Board of Directors.

(C) Telephone Conference Meetings

The Board of Directors may conduct any meeting by telephone. Any Director may attend any meeting by telephone, or by proxy.

(D) Notice

Notice of each meeting of the Board of Directors, other than the Regular Annual Board Meeting, shall be given by mail, telephone or other verifiable means to each Director at least three (3) days before the meeting.

(E) Quorum

At any meeting of the Board of Directors, for which notice has been given, the presence of a majority of the Directors (in person or by telephone or proxy) then in office shall constitute a quorum to transact business.

(F) Proxy

Voting by written, executed and dated proxy shall be permitted.

(G) Action Without a Meeting

Any action the Board could take at any meeting may be taken without a meeting if the action is approved by a majority of the Directors, and is set forth in writing.

(H) Written Report

A written report of each Board of Director's meeting, which at the least shall contain all actions taken by the Board and how each Director voted on each issue, including the votes cast by the President or Chairman, and shall be published in the *Caspian Horse News* or be posted on the Official CHSA Website, in a timely manner, at the discretion of the Board. A member may request in writing to the CHSA Secretary that a copy be mailed.

ARTICLE VIII EXECUTIVE COMMITTEE

Section 1. Enumeration

The Executive Committee shall consist of the Executive Officer and any other Officer.

Section 2. Duties

The duties of the Executive Committee shall be to act for the Board on matters requiring resolution between Board meetings, personnel matters and on disciplinary matters as provided in the General Rules and Regulations and other duties as directed by the Board. All actions of the Executive Committee shall be reported to the other Officers as soon as possible and the Secretary shall note same for the record as soon as possible.

ARTICLE IX OFFICERS

Section 1. Enumeration

The Officers of *The Caspian Horse Society of the Americas* shall consist of a President, A Vice President, a Secretary, and a Treasurer, selected in accordance with this Article. There may be more than one Vice President (though then the first one to be so designated shall succeed the President in the event set forth below under “duties of the Vice President”). Any Officer may also be appointed the Treasurer or Secretary, except that the President may not be the Secretary.

Section 2. Qualifications

(A) Prerequisite

Each Officer must be a member in good standing.

Section 3. Terms

Officers shall be appointed to office for a term of one (1) year, or until their successors are elected and qualified, to take office immediately after the Annual Meeting.

Section 4. Appointment

The Officers shall be appointed by the Board of Directors.

Section 5. Vacancies

Any vacancy occurring between the Annual Meetings shall be filled by the Board of Directors. Should a vacancy occur in the office of President, then, the Vice President shall automatically become the President for the unexpired term so that the vacancy then to be filled would be the office of Vice President.

Section 6. Duties General

These Officers shall perform the duties prescribed by these Bylaws, and by parliamentary authority adopted by the Society, and any special rule of the voting members or the Board of Directors.

Section 7. **Duties of the President**

- (A) The President shall be the Chief Executive Officer of the Society.
- (B) The President shall preside at all meetings of the members, the Board of Directors, and the Executive Committee.
- (C) The President shall enforce these Bylaws and other rules and regulations of the Society, and perform all the duties incident to the position and office, and which are required by law, and shall perform all other duties that may be prescribed from time to time by the Board of Directors.
- (D) The President and the Secretary shall prepare all agendas.
- (E) The President shall be an ex-officio member of all committees, except the Ethics Committee.

Section 8. **Duties of the Vice President**

The (first) Vice President shall assume the duties of the President in the event of the absence, or inability to act, of the President, or at his request.

Section 9. **Duties of the Secretary**

- A) The Secretary shall keep or cause to be kept a full and complete record of the proceedings of all meetings of the members and Directors.
- B) The Secretary shall maintain a record book in which the Bylaws, special rules of order, standing rules, and minutes are entered, with any amendments to these documents properly recorded, and to have the current record book on hand at every meeting.
- C) The Secretary shall handle or cause to be handled all correspondence and communications and generally do and perform all duties incident to the office of the Secretary.
- D) The President and the Secretary shall prepare all agendas
- E) The Secretary shall publish or cause to be published notices of the date and place of all meetings of the members and of the Board of Directors.
- F) The Secretary shall, in the absence of the President and Vice President at any meeting, call the meeting to order and preside until the election of a chairman pro term, which should take place immediately.
- G) The Secretary shall manage the Membership Roll.
- H) The Secretary shall obtain a Seal for the Society with the name of the Society thereon, with which the Secretary shall cause all Certificates of Registration to be sealed, as well as all Society Minutes, the Membership Roll, the Reserved Prefix List, the Horse Registries and Bank Resolutions.

Section 10. **Duties of the Treasurer**

- (A) The Treasurer shall receive or cause to be received all monies belonging to or paid into *The Caspian Horse Society of the Americas*, and shall have the same deposited in the Society's bank account in a timely manner.
- (B) The Treasurer shall disburse or cause to be disbursed funds only upon itemized demands or upon the order of the Board of Directors.
- (C) The Treasurer shall keep or cause to be kept, complete books of account and shall issue an itemized statement and report at the Annual Meeting and such interim reports as may be ordered by the Board of Directors.
- (D) The Treasurer shall submit to the Board of Directors, at the first regular meeting of the Board after the Annual Meeting of the Board, a detailed budget of the proposed and anticipated expenditures for the current calendar year of the Society for their approval. Upon approval of the budget, or its modification, it shall become binding upon the Officers of the Society and shall not be exceeded in each item set forth by more than ten (10) per cent without prior approval by a majority vote of the Board of Directors.

(E) **Year End Auditing**

The Society shall conduct all of its affairs and accounts on the calendar year basis. There will be an annual accounting of the treasury made available to all Society Members and the board.

ARTICLE X COMMITTEES

Section 1. **Authority**

The Board of Directors shall have the power to appoint committees as per Article V, Section 3, B of these Bylaws.

Section 2. **Enumeration**

It shall hereby be agreed that committees do not have to be enumerated on, in these Bylaws, by name or specific instructions, but shall be so done by special rule or order, of the Board of Directors.

Section 3. **Committees**

Such committees, standing or special, shall be appointed by the Board of Directors as the Members of the Society (subject to Article V. 2 (A) or the Board shall from time to time deem necessary to carry on the work of the Society, including the provision of rules and regulations therefore. All committee members shall be members of the Society in good standing.

Section 4. **Ethics Committee**

(A) Enumeration

The Ethics Committee shall consist of four (4) Regular Members appointed to four (4) year staggered term by the Board of Directors. The committee shall elect its own chairman. If any Ethics Committee member is under investigation, he/she shall have no right to vote in such matter.

(B) Duties

The committee shall record all complaints and will mediate, when possible, to the satisfaction of all parties involved. In the event the committee is unable to resolve a complaint, then a written report and recommendation shall be made to the Board of Directors in open session at which time final action shall be taken by the Board.

Section 5. Term

With the exception of the Ethics Committees, all committees shall be appointed by the newly seated Board of Directors each year, or until their successors are appointed.

Section 6. Ex-Officio Member

The President shall be an ex-officio member of all committees, except the Ethics committee.

ARTICLE XI

REGISTRY AND STUD BOOK

Section 1. Establishment

The Board of Directors shall be responsible for the registry and stud book of *The Caspian Horse Society of the Americas* and both shall be under the supervision of the Executive Secretary.

Section 2. Closed Pure-blood "A" Registry, and Part-blood "B" Registry

The Society shall keep and maintain two separate registries: one for Pure-blood Caspian horses (Registry "A") and one for Part-blood Caspian horses (Registry "B").

The "A" registry and stud book of *The Caspian Horse Society of the Americas* shall be closed. All newly registered horses shall consist only of those horses produced from horses previously registered with the CHSA or with Caspian registries affiliated with the International Caspian Stud Book, with the exception that any horse with one or more unknown or unregistered parents shall be registered upon payment of a nonrefundable inspection fee when accompanied by a report of genetic inspection and any other registry qualifications conducted by the Society or its approved agents confirming the horse is a pure-blood Caspian. If the horse is confirmed only as a part-blood Caspian, then it may be registered only in the Part-blood "B" registry. The report shall be accomplished by arrangement between the owner and the Society at the owners' expense. Horses claiming less than Pure Caspian blood may register in the "B" registry upon presenting suitable evidence of part-Caspian pedigree.

Section 3. Permanent Registration

Permanent Certificates of Registration shall be issued to qualified pure-blood Caspian or part-blood Caspian horses which have met all the requirements of the Society, in the "A" or "B" registries, respectively.

Section 4. Registration Fees

The Board of Directors, or the Members, (subject Article V. 2(A)), shall set a schedule of fees from time to time, the fees and charges for registration, issuance of certificates of registration, and the furnishing of any other instruments or documents of the Society pertaining to the Registry. There shall be a different and separate fee rate structure for members and non-members.

Section 5. **Breed Name**

Horses registered in the “A” registry by the Caspian Horse Society of the Americas shall be a pureblood breed of horses descended from ICS-registered parents only. A horse registered in the “B” registry shall be known as a part-Caspian horse. References to part-blood registered Caspians must include either of the adjectives *part-blood* or *part-bred*.

Section 6. **Confidentiality**

The names, addresses and phone numbers of members, subscribers to the *Caspian Horse News*, and those making inquiries of the CHSA or responding to CHSA advertising shall be kept strictly confidential by the Registrar unless a member requests their information be made available. All corporate minutes and records shall be kept confidential, except that future corporate actions shall be published pursuant to Article VII Section 6. (I).

Section 7. **Multiple Registrations**

No pure-blood Caspian registered in the “A” registry of the CHSA may be simultaneously registered in any other pure-blood Caspian registry, except the ICS registry of its birthplace and the ICSB. Pure-blood Caspians previously registered in another ICSB recognized Caspian Horse Society must be Census listed by the CHSA to be eligible to register offspring with the CHSA. Census Listed Caspian horse must follow all CHSA rules and requirements of registration, including Microchip implantation and DNA testing. Additional DNA testing of sire and dam of the Census Listed Caspian horse may also be required.

ARTICLE XII OFFICIAL PUBLICATION

Section 1. **Name**

The name of the official publication of *The Caspian Horse Society of the Americas* shall be the “*Caspian Horse News*.”

Section 2. **Editor**

The Editor of the “*Caspian Horse News*” shall be selected by the Board of Directors and hired or appointed by the Board of Directors.

Section 3. **Policies**

The editorial policies and the policies of the “*Caspian Horse News*” shall be set by the Board of Directors.

Section 4. **Rates**

Subscription and advertising rates shall be recommended by the Treasurer and the Editor, and approved by the Board of Directors. Initially, all regular members in the Western Hemisphere shall receive free copies.

ARTICLE XIII OFFICIAL HANDBOOK

There shall be published a rule book, which shall be entitled the “*Official Handbook of the Caspian Horse Society of the Americas*” which shall be made available to every member and shall be printed in the following order: a foreword, a table of contents, the Articles of Incorporation, the Bylaws, General Rules

and Regulations, Ethics Committee Procedures and Guideline, Licensed Officials Committee, Type and Standard of Perfection, a complete list of fees, and any other rules, regulations, or information of the Society.

**ARTICLE XIV
HORSE SHOWS, TYPE AND STANDARD**

The Members shall set the criteria for approving the policies for approved Caspian Horse Shows and Caspian Horse Type and Standards of Perfection, and shall approve show rules and type and standards of perfection, which shall not be made a part of the Bylaws, but shall be published in the Official Handbook of the Caspian Horse Society of the Americas. When deemed necessary, the Board of Directors shall exercise their power, upon any of the above rules and policies, as per Article V, Section 2 (A).

**ARTICLE XV
GENERAL RULES AND REGULATIONS**

The Annual Meeting shall approve general rules and regulations, which shall govern the members and the Society, on all matters not contained in these Bylaws, but shall be published in the Official Handbook of the Caspian Horse Society of the Americas. When deemed necessary, the Board of Directors shall exercise their power, upon any of the above rules and regulations, as per Article V, Section 2 (A).

**ARTICLE XVI
FEES**

The Board of Directors shall determine the fees and charges for all items not expressly stated in these Bylaws.

**ARTICLE XVII
PARLIAMENTARY AUTHORITY**

The rules contained in the current edition of *Robert's Rules of Order Newly Revised* shall govern the Society in all cases to which they are applicable, in which such a degree of formality is deemed expedient by the presiding Officer of the Society, and in which they are not inconsistent with the Articles of Incorporation, these Bylaws, and any special rules of order the Society may adopt.

**ARTICLE XVIII
ADOPTION**

These Bylaws of The Caspian Horse Society of the Americas were approved and adopted by the Board of Directors on May 31, 1994, et seq as amended from time to time.

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**GENERAL RULES AND REGULATIONS OF
THE CASPIAN HORSE SOCIETY OF THE
AMERICAS**

MEMBERSHIP

100 Membership

Membership in the Society shall be as stated in Article IV of the Bylaws of the *Caspian Horse Society of the Americas*.

GENERAL PRIVILEGES AND RESPONSIBILITIES

101 Rights of Members

While in good standing, all members shall have equal rights, interest and responsibilities with respect to the Society and its property; shall obey and be bound by all Bylaws, Rules, and Regulations of the Society, and decisions or actions of the Board of Directors or Hearing Board; shall have the right to attend any membership meeting; shall have floor privileges; and shall have the right to hold committee assignments, except as otherwise limited.

101A Right to Vote

The right to vote and hold office shall be by class of membership held.

102 Rights of Non-Members

Individuals who are non-members, but who own Caspian Horse Society registered horses, file registration applications and other documents with the Society, or participate in Society events, by such actions and in regard to such transactions, do hereby agree to be bound by all Bylaws, Rules, and Regulations of the Society and decisions and actions of the Board of Directors or Hearing Board.

LITIGATION

110 Obligation of Cost

The Society has adopted the following provision for the mutual benefit of members and with the intention of reducing the Society's litigation expenses, which expenses would ultimately be borne by members and non-members participating in Society activities:

Every member, by joining the Society, or non-member, by purchasing CHSA registered horses, filing registration applications or other documents with the Society, or participating in Society's approved events, does hereby agree: If unsuccessful in an attempt to overturn Society's decisions, actions, rules or regulations, to reimburse the Society for its reasonable attorney's fees, court and other expenses in defense of such suit.

FEES

120 Currency

All fees required by the Society shall be payable in U.S. funds.

VIOLATIONS

130 Refusal to Assist

No person shall refuse, on reasonable request, to assist the Society, its officers, committees, or agents, in locating, identifying, and inspecting, or to answer promptly and truthfully any inquiry concerning a horse or an ancestor thereof, in his ownership or control, which has been registered, or for which application to register has been made. The above rule shall also apply equally to any issue involving Violations, or suspected Violations, of the Bylaws and Rules & Regulations of the Society.

131 CHSA Property

Ownership of any issued CHSA registration certificate remains with the Society. The certificate is issued upon receipt of a written application submitted and attested by the owner at time of foaling with fees paid. The Society has the privilege to correct and/or cancel the certificate for cause under it's, Rules and Regulations.

131A Refusal to Return

No person shall refuse a Society request for the return of a registration certificate, either before, after, or pending Hearing to determine registration or participation privilege in Society approved events, or for inspection or correction as to accuracy or compliance with Society Rules and Regulations. The Society may retain possession of a certificate until resolution of the matter for which the return of the certificate was requested.

132 Disrespect of Person

In the furtherance of their official duties all Society representatives shall be treated with courtesy, cooperation, and respect, and no person shall direct abusive or threatening conduct toward them.

133 Non-Payment of Obligation

Any member may be suspended and denied privileges of the Society and any non-member may be denied the privileges of the Society by the President for the failure to pay when due any obligation owing to the Society or for giving a worthless check in exchange for any services performed by the Society, i.e., registration, transfers, etc., which shall include giving a worthless check or owing funds to the *Caspian Horse News*, or for entry fees, stall fees or any other fees or charges connected with the exhibition of horses; provided that fifteen (15) days before action by the President, written notice of the account due and the intention to suspend or withhold privileges of the Society shall be mailed to such member or non-member. Upon suspension by the President, the name of the member or non-member may be published in the *Caspian Horse News*, beginning with the next available issue from date of suspension. Any suspension and denial of privileges under this section shall terminate upon full payment of the obligation due the Society.

134 Intent to Violate

A member or non-member shall not conspire with any other person to violate intentionally the Bylaws, Rules, and Regulations of the Society, or knowingly to contribute or cooperate with any other person(s), either by affirmative action or inaction, to violate the Bylaws, Rules, or Regulations of the Society. Violation of this rule shall subject such member or non-member to disciplinary action, whether or not such member or non-member has actually signed reports filed with the Society asserted to be true or correct.

134A Influencing an Official

It shall be illegal to influence a horse show judge by favors, coercion, or monetary gain.

135 Intent to Malign

No person shall engage in any act adversely affecting the purpose, objectives, or good name of the *Caspian Horse Society of the Americas*.

136 Unearned Funds

Entry and stall fees paid in advance for an accredited CHSA Show shall be refunded on demand if said show loses its accreditation by the Society or is canceled for any reason. Violation of this rule shall subject such member or non-member to disciplinary action.

FRAUDULENT PRACTICES

137 False Endorsements

No person shall represent, by advertisement, claim, or otherwise, that a horse has earned or is entitled to any official Society designation, honors, or titles, prior to actual recording of such designation, honor, or title in the records of the Society.

138 False Representation

No person shall represent any horse owned or managed by him to be registered with the Society unless said horse is registered in the official records of the Society.

138A False Representation of Horse

No person shall represent as a Caspian Horse (or part-blood Caspian) any horse other than those horses which meet CHSA established definition of a Caspian (or part-blood Caspian) horse and for which a certificate of registration was issued.

139 False Statements

All information furnished the Society as a basis for any action by the Society or any of its officers with respect to any horse must be true and correct.

140 False Certificates

No person, firm, or corporation shall issue, sell, exchange, give away, or receive, or offer to do any thereof, any false or fraudulent certificate, representing the same to be a genuine official certificate issued by the Society.

141 False Identification

No person, firm, or corporation shall sell, give away, exchange, or receive any registration certificates of the Society without the transfer of the same and correct horse.

141A Name of Horse

No person shall advertise, or enter in any Caspian horse event or competition, any horse registered with the Society by any name other than its complete registered name.

141B Ringers/Look Alikes

No person shall represent as a registered Caspian (or part-blood Caspian) Horse any horse other than the horse for which the CHSA certificate was issued.

142 Alteration of Certificate

No change in or alteration of a certificate of registration or identification required by the Society shall be made except by the Society upon proper showing of the necessity for such change or alteration, because of change in color or markings, or mistake; nor shall any person display or advertise or have in his possession any such certificate that has been changed or altered other than by the Society or on its authority.

143 Alteration of Markings

No person shall alter, change, or attempt to hide or alter the natural markings of a horse, by surgery, dye, or in any other manner.

143A Cosmetic Surgery

Any surgical procedure, other than gelding, which could affect the horse's performance or alter its natural conformation or appearance is prohibited, except for those surgical procedures performed by a duly licensed veterinarian for the sole purpose of protecting the health of the horse.

DISCIPLINARY PROCEDURES

150 Investigation

The Executive Committee may investigate circumstances involving possible violation of the Bylaws, Rules, or Regulations. After investigation, the Executive Committee will decide whether possible violations can be satisfactorily resolved by agreement for corrective action with the person involved or whether a Notice of Charge should be issued.

151 Notice of Charge

After investigation, if the Executive Committee finds reasonable grounds to believe that any person has violated any bylaw, rule or regulation, or has engaged in any misrepresentation, misconduct, or any other act adversely affecting the purposes or good name of the Society, the President will mail to such person a Notice of Charge stating the wrongdoings alleged to have been committed by such a person. The charged person shall be given not less than fifteen (15) days notice of time and place for hearing such charge by the Hearing Board.

151A Informal Resolution

The Executive Committee may informally resolve any matter relating to a Notice of Charge prior to any Hearing scheduled under this rule by agreement with the charged person.

152 Temporary Suspension

After investigation, if the Executive Committee issues a Notice of Charge to a person, the Executive Committee may also suspend that person's use of the Society's privileges and pending a Hearing and decision by a hearing Board, if they find that such suspension is necessary for the protection of third parties or of the Society's purposes and good name. Notice of such temporary suspension will be mailed to the charged person.

HEARINGS

160 Impaneling Board

If a Hearing is to be held under these rules, or if a Hearing on any matter is determined by the Executive Committee to be desirable, said Executive Committee shall appoint a Hearing Board. The Hearing Board will consist of not less than three Regular or Lifetime Members of the Society, at least one of whom shall be a Director of the Society.

161 Time and Place

The Hearing Board will designate the time and place of the Hearing, which may from time to time be continued or rescheduled.

162 Hearing Procedures

A person who has been given notice of a Hearing will be afforded the opportunity to appear in person (with counsel if desired) to present evidence in his behalf and to hear and refute evidence offered against him. The common law or statutory rules of evidence will not apply at the Hearing, but the Hearing Board will determine the admissibility of evidence and weight to be given to the evidence submitted.

163 Decisions

The Hearing Board will make its decision in a timely manner following the Hearing. Any and all decisions by the Hearing Board, and the conduct of the Board, must be in full accordance with and not contrary to any rule, regulation, bylaw, or Articles of Incorporation of the Society.

163A By Majority Vote/Exceptions

Any action or decision made by the Hearing Board shall stand on a majority vote, except that suspensions over ninety (90) days and expulsions shall require a unanimous vote of the Hearing Board.

164 Appeals

The decision and action of the Hearing Board shall be final and binding on all parties, unless grounds for an appeal are found and filed with the Society as per rule #165 of these General Rules and Regulations.

165 Filing an Appeal

Any person(s) charged and found guilty, or granted an unfavorable decision, by the Hearing Board, and who can successfully acquire signed support of three Regular Members, one of whom must be a Society Director, verifying any infractions of written and/or approved Society bylaws, rules, regulations, policies, or Articles of Incorporation committed by the Hearing Board relating to or involving the case in

any way, may file an appeal for a new Hearing and request replacement of any or all of the original Hearing Board members provided such is filed within thirty (30) days of date of the original Hearing Board's decision.

165A Proof of Filing

An appeal will be deemed filed on the date same is postmarked and must be sent by registered or certified mail with return receipt.

165B Final Decision

The decision and action of the Second Hearing Board shall be final and binding on all parties, but does not restrict or prohibit any party involved from taking legal action.

166 Notification of Decision

The Society shall be responsible for notification of the Hearing Boards decision by promptly mailing a copy thereof to the charged person.

166A Null and Void

Delay in mailing notification of more than seven (7) days from the date of the decision of the Hearing Board may result in dismissal of charges or void the decision of the Hearing Board.

166B Proof of Mailing

Proof of mailing shall be dated receipt for registered or certified mail.

PENALTIES

170 Right to Take Disciplinary Action

Any member may be suspended or expelled from the Society, and any member or non-member may be denied any and all privileges of the Society by the Hearing Board whenever it is established by satisfactory evidence that such member or non-member has violated any pertinent bylaw, rule, or regulation of the Society.

171 Specific Penalties

At such time as any person has been suspended, expelled or denied Society privileges, in addition to other provisions of these rules or policies of the Society, the following restrictions may apply:

171A Participation, Accredited Privileges

Such person shall not participate, and shall be ineligible to participate in any Society approved event, show, or function. Nor shall any such person be eligible to hold any other Society accreditation.

171B Registration and Transfer Privileges

Such person or spouse, or any family member living in the same household, or employee, or agent (or, in the case of a nominated *in lieu* member, the organization), shall not be eligible to register any horse with the Society, nor transfer registered horses into his or her name or in the names of any of the above.

171C All Offspring's Eligibility for Registration

No horse shall be registered which is either sired by a stallion or out of a mare owned by such person or owned by any member of same household (or, in the case of a nominated *in lieu* member, the organization) during the suspension period or after expulsion from the Society when the breeding date is on or subsequent to the date of disciplinary action. All records of breeding for the twelve (12) months prior to the disciplinary action, under this rule, shall be submitted on proper written and signed forms, within fifteen (15) days after notice of disciplinary action.

171D Horse's Eligibility to Participate in Shows

No horse which is recorded in the name of such person or spouse, or any member of same household (or, in the case of a nominated *in lieu* member, the organization), is eligible to participate in any event approved or recognized by the Society, such as shows and sales.

171E Personal Signature Recognition

The Society shall not accept the signature of such person or his/her spouse, nor the signatures of any member of his/her household on registration applications, breeding certificates, or stallion breeding reports evidencing breeding on or after date of such disciplinary action.

1. Such signatures will be honored on transfers and bills of sale for the purpose of allowing such disciplined person or spouse to transfer horses recorded in his or her ownership at the time of the disciplinary action.
2. Written leases filed with the Society prior to time of disciplinary action and covering horses owned by the disciplined person or spouse shall remain valid and the signature of the lessee shall be accepted during the term of lease, but not for renewal thereof.

172 Agents and Employees

If any person acting as an agent for the owner of a horse, or any person having horses owned by another in his care, custody, or control, is found to have violated these rules and regulations, the Hearing Board may proceed against that person as provided for in these rules. In addition, the Hearing Board may direct the Society to refuse acceptance of any registration, or transfer of certificates of registration, or breeder's certificates, or all three, for horses which are in such person's care, custody or control.

173 Further Action

During the period of expulsion, suspension, or denial of Society's privileges, failure to comply with these restrictions and any other expressed condition or restriction of said disciplinary action, may constitute grounds for further disciplinary action.

174 Publication

When a member is disciplined, suspended, or expelled, or a non-member is denied membership privileges, notice of the imposition of any penalties against such person, including duration of the action taken will be published in the *Caspian Horse News*. This does not apply in cases of private censure.

175 Restoration of Privileges

A Hearing Board may restore privileges, including membership, to any person who has been denied privileges pursuant to this rule, upon application and satisfactory proof by such person that restoration of privileges is warranted.

176 Notices

Any and all notices required or permitted under these rules and regulations will be deemed given on the date such notice is mailed to a person's last known address according to the Society's records.

RECORDS

180 Individual Person - Requirements

Any person subject to these rules and regulations may be required to supply any information and documents that the Society may determine to be necessary with respect to the registration of horses or the transfer of registration certificates.

180A Record Keeping

Complete and accurate records of breeding (hand and pasture breeding), foaling, etc., must be kept in permanent form by the owner of horses, and these records must be made available to the Society as may be determined necessary with respect to the registration of horses or the transfer of registration certificates.

180B Failure to Keep or Show Records

If the Society determines that no systematic and satisfactory plan for keeping records is in use, or if no records are made available upon the Society's written request for compliance, the Society may, for up to forty-five (45) days, temporarily refuse registration or transfer of registration certificates from such owner until the records are complete. If during that forty-five day period the owner fails to demonstrate compliance with the Society's request to provide the office with such paperwork or for keeping and maintaining a systematic and satisfactory set of records, the Society may proceed against the owner under the rules of Disciplinary Procedures.

180C Annual Stallion Reports

Stallion (breeding) reports shall be submitted to the Society office within fifteen (15) days of December 31st of each year. If a breeding stallion dies during any current breeding year his stallion report is due within thirty (30) days following his death.

180D Corrections/Additions to Stallion Reports

Stallion reports which require a correction or an addition will result in a fee per horse to correct or add to the stallion report. In addition, the registered owner (or authorized agent) will be required to submit the necessary blood work or DNA testing, at said owner's or agent's expense, to verify the correction or addition. Failure to remit stallion reports will also be handled according to the above procedure.

180E Sale of Stallion

Thirty (30) days after a stallion's sold, the previous owner or registered agent, must file a stallion report with the Society.

180F Licensed Stallions

To be eligible for registration with the Society, a foal must be sired by a licensed stallion. No colt or stallion may be used for breeding before or until a stallion license is obtained. Any Caspian stallion imported into the CHSA (Census List) must comply with the same CHSA Stallion Licensing requirements and provide a veterinarian report certifying the lack of any apparent genetic defect.

181 Right to Refuse, Deny or Cancel Registrations

The Society shall accept only Caspian (or part-blood Caspian) horses that qualify, for registration, and shall deny requests for registration, transfer, and cancel existing registration certificates under the bylaws, rules and regulations of the Society of non-Caspian horses. Should the Society take any such action, the Society will send written notice to the person(s) involved, who may then request a Hearing to determine the validity of action taken.

181A Request for Hearing

The request must: Be in writing, state all grounds and reasons upon which the applicant relies for determining valid registration, and be received by the Society within thirty (30) days after receipt of the Notice of Denial or Cancellation.

181B Burden of Proof

If a request for a Hearing is received in accordance with this rule, a Hearing will be held as provided in rules 160-169 on Hearings. In all disciplinary matters the burden of proof lies with the charged person. The applicant or person(s) seeking registration or to retain or change status of registration of a horse will have the burden of proving that the horse qualifies for registration in the Society. In all proceedings concerned with or affecting the registration and records of the Society, the burden of resolving any doubt as to the true parentage or identification of a horse shall be upon the applicant, owner, lessees, or other member(s) involved. If no request for a Hearing is received within the required time period, the decision of the Society stands as final.

181C Blood Testing/DNA Typing

Blood testing and/or genetic testing may be required at the discretion of the Hearing Board to resolve any question or doubt as to the true parentage or identification of the horse, or whenever the Executive Committee, in its sole discretion, shall require it. In consideration of such tests and other information as may be available, the Hearing Board may authorize such corrections on the records of the Society. The recorded owner of a horse must agree to permit such tests which will be at the expense of the owner. Refusal by an owner to permit blood typing or testing under this rule may result in cancellation of registration and penalties under rules of Disciplinary Procedures.

182 Procedure for Denial or Cancellation of Registration

The Society may propose to deny or cancel the registration of a horse if it finds that there are reasonable grounds to believe:

1. That the horse does not meet the requirements for registration; or

2. That the horse identified as the subject of registration is not the same horse the certificate is issued to or requested for or;
3. That any information on the application or registration certificate is determined to be false or incorrect.

The Society will advise the recorded owner in writing of any proposal to deny or cancel the registration and the reason supporting this proposal. The recorded owner shall have thirty (30) days to provide the Society's Registrar with information preventing the denial or cancellation. If such information does not resolve the difficulty the decision of the Registrar stands and the recorded owner may then request a Hearing as per rule 181.

183 Publication

Notice of any cancellation of certificates of registration may be published in the *Caspian Horse News*.

184 Registration Procedure

Applicant must complete, sign and submit proper CHSA registration application forms, with no less than three photographs of the horse taken in accordance with requirements on the form, to the Society's Registrar. Only the foals of previously registered (with the Society or with a Caspian registry affiliated with the *International Caspian Stud Book "ICSB"*) sires and dams are eligible for registration, except as set forth in rule 184F.

184A Responsibility

The owner of the dam at time of foaling is responsible for registration of the foal. If a foal is to be listed in an individual's name other than the owner of the dam at time of foaling then a transfer report and fee shall be required.

184B Naming of Horse

Caspians already registered with the ICSB or another ICS-approved registry, must use exactly that same name in registration with the Society (*i.e.* the CHSA).

The owner of the dam at time of foaling has a right to name the offspring. The name of the horse cannot be a duplication of any other name and cannot be deceptively similar to any other name recorded on the records of the Society. The name of the horse may be distinguished by a prefix or suffix which is not duplication of or deceptively similar to a prefix and suffix which has been previously reserved and payment made of the required fee to the Society. The filing of such a reservation does not override any rights that another member or non-member may have to the exclusive use of a prefix, suffix or horse name pursuant to federal trademark or trade name laws or other laws similar thereto including, but not limited to, common law surrounding rights in trademarks and trade names. The Board of Directors of the Society may adopt procedures as guidelines for the implementation of the prefix and suffix reservation process and for determining when and if prefix and suffix or horse name is deceptively similar to a previously recorded horse name or previously reserved prefix and suffix, and may publish in *The Caspian Horse News*, or elsewhere as required by copyright or trademark laws, for 120 days the prefixes and/or suffixes already reserved.

Names of horses may not be changed after registration; however if DNA testing results in a change of sire and/or dam and the registered horse's name includes the name of either sire or dam, only that portion of the name must be deleted or changed.

When it is determined by the Registrar that a name is offensive to any race, creed, color or national origin, the Registrar has the right to refuse the registration. The name of a horse may not be changed after registration unless it is offensive to any race, creed, color or national origin, in which case, the Registrar has the right to require a change in the offensive part of the name only.

184C Breeder

The owner of the dam at time of service shall be listed as the breeder.

184D Reinstatement

Revoked registration papers may be reinstated by paying two times the normal cost of applying for permanent status per the fee schedule.

184E Foals of Un-Registered Parents

No foal shall be accepted into the registry while either parent has registration certificate revoked.

184F New Iranian Stock

Any new Caspian bloodlines originating in Iran or neighboring territories must first be tested to establish their purity and authentic Caspian genetic heritage and blood before admission to the registry, unless already accepted for registration in the ICSB.

184G Foals Over Two Years of Age

To be eligible for registration with the Society, stock must be under two years of age at the time of registration, unless already registered with an ICS-affiliated registry. Any Caspian over two years of age (24 months) that has never been registered with the Society but has CHSA-registered parents (or parents registered with a ICSB approved registry), must be DNA typed or blood typed along with the sire and dam before registration can be completed. Over age two registrations are subject to review by the CHSA Registrar and Board of Directors, and a waiver permitting late registration must be obtained from the ICS Registrar. Additional fees may be assessed and are the responsibility of the applicant. All other registration requirements must also be met.

184H Territorial Limits of Registry

The Society shall be the registry for pure and part-blood Caspians as well as Stallion Licensing in the Western Hemisphere only, and only such Caspians as have, at one time or another been physically present (including in utero) in the Western Hemisphere may be registered. Their registration shall remain valid, even should they later leave the Western Hemisphere.

The Registrar may require proof of physical presence in the Western Hemisphere prior to registration, should there be reason to question the matter. The Registrar may make an exception to this rule, in the event evidence is presented to the Registrar that a regular or lifetime member intends to maintain a foreign (*i.e.* outside the Western Hemisphere) herd of pure or part-blood Caspians already registered with the ICSB, and that such Caspians are not merely in transit to the Western Hemisphere. If the Caspians are anticipated to be in the Western Hemisphere within sixty (60) days of application, the Registrar shall assume they are not part of a foreign herd maintained by a member, and shall delay registration until their arrival in the Western Hemisphere. Caspians in areas not covered by a regional registry affiliated with the ICSB, must be registered in the ICS registry of their sire.

184I Foals Born Outside ICS Territories

Caspian foals born outside all ICS members' territories must be registered with the Society (*i.e.* the CHSA) if the sire was foaled in the United States.

185 Registration Numbers

The Society will issue registration numbers consecutive order, based upon the order in which the applications are processed by the Society. Caspian foals born in the CHSA territory will have numbers prefixed by USA. Horses previously registered with another registry affiliated with the *International Caspian Society* will retain their existing numbers and the initials of the former registry. These horses will be Census Listed and be given a number prefixed by CHSA. Part Bred Caspian horse will be given a number prefixed by USAP and entered into the "B" section of the CHSA Studbook.

186 Certificates

The Society shall issue a certificate of Registration based upon information submitted on proper form attesting to the qualification for registration.

186A Transfer Without Signature of Recorded Owner

Whenever legal title to a registered horse passes to another by reason of death of the recorded owner, by reason of foreclosure of any liens, or by any order or decree of court, or otherwise by operation of law, the Society may transfer the registration of such horse to the new owner upon:

1. Order of a court of jurisdiction or other satisfactory proof of authority for transfer;
2. Payment of the transfer fee and any reasonable cost and expenses of investigation and;
3. Satisfaction of such other requirements as may be adopted by the CHSA Registrar and Board of Directors.

186B Sale Without Certificate of Registration

If a registered horse is sold without the certificate of Registration, the certificate must be surrendered by the recorded owner to the Society for cancellation.

186C Duplicate Certificates

To obtain a duplicate certificate of Registration, the recorded Owner must file with the Society a completed affidavit satisfactorily explaining the loss of the original certificate, and pay the appropriate fee. The Society may require, at the applicant's expense, additional DNA testing and microchip verification to establish the correct identity of the horse before the Certificate will be issued.

186D Replacement Certificates

To obtain a replacement for a certificate which has been torn, mutilated, soiled, or otherwise defaced, but which is identifiable, the recorded Owner must submit the original certificate to the Society for identification and pay the replacement certificate fee.

186E Certificate Corrections

A recorded owner may request a change in a horse's markings, or color as shown on the certificate by submitting the certificate of registration to the Society accompanied by a signed affidavit or statements

attesting to the corrections or changes, and submit any additional information that may be required by the Society. There shall be no charge for an amended or corrected certificate.

186F Castration

After a stallion is castrated the certificate of registration and a “Gelding Certificate” form (provided by the Society) must be submitted to the Society by the recorded owner. An amendment will be added to the Certificate of Registration and returned to you.

186G Cancellation upon Death of Horse

Upon the death of a registered horse, its Certificate of Registration and a statement signed by the recorded owner showing the date of death must be submitted to the Society for recording. Upon request the Society will mark the cancellation on the back of the certificate and return it to the recorded owner.

186H New Pictures Required

Under all sections of Rule 186 new photographs may be required.

187 Leases

For a lease of a horse to be recognized by the Society, whether for breeding or showing purposes, written notice of existence of said lease shall be filed with the Society Office, signed by both lesser (or authorized agent) and lessee (or authorized agent) and accompanied by the fee in the fee schedule. The notice shall provide the effective date of the lease, the name and registration number of the horse, and may provide a termination date. Otherwise it may be terminated by written notice, giving termination date signed by both lesser and lessee; or by a properly executed transfer report which shows a change of ownership from lesser to lessee and which is signed by lesser. No additional fee shall be charged for termination, whether automatic or by subsequent notice thereof.

187A Recognition

The Society will recognize only one lease per horse.

187B Recordation

Recording of Notice of Lease with the Society authorizes a lessee to execute all documents pertaining to the recognized activities of breeding or showing. Any limitation on use of the horse is solely the responsibility of the lesser.

187C Transfer of Ownership

During the effective term of the lease, the Society will not record subsequent changes in ownership until the lease is terminated; and only the lessee (or authorized agent) is authorized to sign breeder's certificates, stallion breeding reports or registration applications pertaining to the leased horse.

188 Syndicates

In order for a syndicate to be recognized by the Society as owner of one or more horses, the horse(s) must be transferred into the name of the syndicate with the following items:

1. A written report of the transfer to the syndicate name must be completed and signed by the last recorded owner. This transfer must be received by the Society office accompanied by the certificate of registration, the proper transfer fee, and disclosure of the names of the syndicate members.
2. Written authorization shall be provided to the Society office (forms available upon request) appointing the syndicate manager and signed by the syndicate manager.
3. When or if changes are made in the syndicate manager or syndicate members, written notice must be provided to the Society office indicating the change. No cancellation of the outgoing manager or syndicate members will be made unless written notice to this effect is received by the Society.

BREEDING REQUIREMENTS

190 Twenty Day Rule

After a mare has been exposed or bred to one stallion, either by hand or pasture breeding, at least twenty (20) days must elapse before exposing the mare to a different stallion.

191 Pasture Breeding

Only one stallion may run with a mare or group of mares in a pasture, and they must be enclosed by permanent fencing maintained in such manner that no other stallion can cover a mare in said group.

192 Embryo Transplantation

192A Embryo and Oocyte Transplantation of Purebloods

In recognition of the Caspian's status as a rare breed, and to promote the careers of performance mares, embryo and oocyte transplantation will be allowed when performed in accordance with all applicable ICS standards for these procedures.

192B Embryo Transplantation of Part-Bloods

No exception shall be necessary in the case of embryo transplantation resulting in part-bloods (*i.e.* when one or the other of the parents is a pure-blood and the other is a part-blood or non-Caspian). However, such practice or technique must be reported to the Registrar prior to its use with, on or from any Caspians registered with the Society, by the owner(s) thereof, in a manner which clearly sets forth the stallions and mares involved. The members or the Board may restrict or prohibit this practice in the future.

193 Artificial Insemination

The practice and technique of artificial insemination shall be permitted with Caspians registered with the Society. However, such practice or technique must be reported to the Registrar prior to its use with, on or from any Caspians registered with the Society, by the owner(s) thereof, in a manner that clearly sets forth the stallions and mares involved, all of which must be registered with the Society. Artificial insemination of a pure-blood by a part-blood Caspian, or of a part-blood by either a part or pure-blood Caspian, will result in a part-blood Caspian registerable only in the part-blood "B" registry. The members or the Board may restrict or prohibit this practice in the future.

IDENTIFICATION

194 Blood-typing/DNA

Every pure-blood Caspian registered in the "A" registry shall be blood-typed and/or DNA tested. The results/reports from the testing facility establishing the correct parentage must be received by the CHSA Registrar before the registration is processed. Owners may have sixty (60) days within which to comply with blood-typing or DNA testing, before registration of their pure-blood or partbred Caspian(s).

195 Micro-chip Implantation

Every pure-blood Caspian in the "A" registry shall have an identifying implanted micro-chip. A record of the identification and date of implantation shall be provided to the Registrar before the registration is processed for their pure-blood or partbred Caspian(s)."

196 Truth in Advertising

No member shall engage in any misleading, fraudulent, deceptive or false advertising, or in any advertising casting slurs upon other members of the Society or their Caspians. Any member violating these rules may their membership revoked or reviewed for renewal acceptance by the CHSA Board of Directors.

197 Semen Storage

Stallion owners must apply to the Society for permission to obtain and store semen in order to secure proper identification for future use.

COMMITTEES

198 Caspian Nationals and Show Rules Committee

The Caspian Nationals and Show Rules Committee members shall be appointed by the Board of Directors.

199 Ethics Committee Procedures and Guidelines (See page 42)

200 Licensed Officials Committee (See page 45)

201 CHSA Caspian Type and Standard of Perfection (See page 46)

202 Fee Schedule (See page 47)

CONFLICTS WITH INTERNATIONAL CASPIAN SOCIETY

In the event of any conflict with the Rules and Regulations of the International Caspian Society (ICS) and those of the Society (CHSA), those of the ICS shall prevail, unless an interpretation that can reconcile both can be made. The ICS Constitution and Rules and Regulation may be viewed online at www.icsb.co.uk.

AMENDMENTS

These rules and regulations may be repealed, amended, or new rules and regulations enacted, without notice, by the Regular members, at any Annual Meeting, special meetings, or by the Board of Directors as per Article V, Section 2A of the Society Bylaws.

PART-BLOOD CASPIAN “B” REGISTRY

The Society shall maintain a separate, “B” registry for horses of part-blood Caspian stock. All Articles, Bylaws, Rules and Regulations of the Society shall be applicable thereto, modified only insofar as is reasonably necessary due to the part-blood difference. Any horse or pony with at least one pure Caspian parent, (which can be established through the Society registry or any Caspian registry affiliated with the *International Caspian Stud Book*), can be registered in the “B” registry. Separate forms indicating the part-blood Caspian ancestry shall be provided for same. The fees shall be identical as in the Society registry for pure-blood Caspians.

SOCIETY NOT LIABLE

The Society, its Directors, Officers, members of committees, appointed persons, members of Hearing Boards, employees, representatives and agents of the Society will attempt to obtain true and complete information relating to registrations; Hearings, and all other matters pertaining to Society’s activities and business. Except for proven intentional wrong doings, neither the Society or any of the above will be liable in any way, whether in damages or otherwise, for the issuance of any Certificate of Registration, for the transfer of any Certificate of Registration, for the refusal to issue a certificate, for the issuance of pedigree statements, for the refusal to transfer any Certificates of Registration, for any disciplinary proceedings brought against or penalties imposed on any member or non-member by or on behalf of the Society.

ADOPTION

The foregoing Rules and Regulations were unanimously approved by the Members at the first Annual Meeting, May 31st, 1994, *et seq* as amended from time to time.

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**ETHICS COMMITTEE PROCEDURES AND
GUIDELINES OF THE CASPIAN HORSE
SOCIETY OF THE AMERICAS**

DEFINITION OF COMMITTEE

The Ethics Committee of the CHSA is a committee empowered by the Bylaws of the Society and elected by the membership. The duties of the Ethics Committee are to record all complaints and mediate, when possible, to the satisfaction of all parties involved. In the event the committee is unable to resolve a complaint, a written report and recommendation shall be made to the Board of Directors in open session at which time final action shall be taken by the Board.

DETERMINATION OF ACTION

All written complaints received by the CHSA Office, any of its Officers, Directors, members or employees shall be forwarded immediately to the Chairman of the Ethics Committee. Complaints may also be sent directly to Ethics Committee members who shall forward them to the Chairman. The Chairman shall assign a case number to, and send copies of, all complaints received to all members of the Ethics Committee.

All members listed in the complaint shall be notified certified mail, return receipt (by courier when going to Canada). If there is no response within ten (10) days, then the member shall be contacted by phone giving him/her another ten (10) days to respond.

If, in the opinion of a majority of the Committee, a complaint is a specific violation of CHSA Bylaws, Rules and Regulations, or Show Rules, that complaint shall be referred to the authority designated by the CHSA rules to receive such complaints. The Committee shall send a copy of its decision and recommendation, if any, to the Board of Directors, the CHSA Office, and to all parties involved in the complaint.

The Ethics Committee, by a majority decision, may refuse to consider any complaint without citing a reason. The Committee shall notify the Board of Directors, the CHSA Office, and all parties involved of such decision.

If, in the opinion of a majority of the Committee, a complaint falls outside the scope of specific CHSA rules, and a majority of the Committee wishes to attempt to mediate or resolve the complaint, the Committee shall proceed with an investigation.

PROCEDURES OF INVESTIGATION

The Ethics Committee shall have the authority, at the expense of CHSA, to employ legal counsel to assist it in carrying out its duties and functions. The Ethics Committee shall have unrestricted access to any and all records and documents of the CHSA. The Ethics Committee shall have the right to bill necessary conference calls, postage, and telephone expenses to the CHSA.

Complaints to be investigated shall be referred to the Committee member in whose designated area the complaint originates. This Committee member should investigate as needed and present a complete report to all other Committee members by mail, fax, or phone. If, by determination of a majority of Committee members, mediation is possible, a suggested resolution should be presented to the parties involved by the Committee member who has prepared the report. If the mediation is successful, the Committee member

should notify all members of the Committee, the Board of Directors, the CHSA Office, and all parties involved in writing.

If, in the opinion of the acting Committee member, a meeting of the parties involved is in the best interest of mediation, either he/she may arrange a meeting with them or arrange a conference call or a meeting of the full Committee.

If no resolution, or compromise, is agreeable to all parties involved, the acting Committee member should prepare a proposed recommendation for Board action and present it to all other Committee members for approval. When an agreement for recommendation has been reached by a majority vote of the Committee, a written report should be prepared and the acting Committee member should attend the soonest possible Board meeting or designee another Committee member to do so, to present it to the Board of Directors.

In all cases, the parties involved should be notified of the steps that are being taken. Any member involved in a complaint to be presented by the Ethics Committee at the Board of Directors meeting should be notified as soon as possible, and in no case less than three weeks before said meeting. Any party who is the subject of a complaint brought by the Ethics Committee before the Board of Directors shall have the right to be present and to respond, if he/she desires.

All members of the Ethics Committee have the right to abstain from participation in any particular case, including investigation and voting, without having to state a reason.

PENALTIES

After mediation is complete, the Ethics Committee reserves the right to have made public, or not made public, any of its decisions and disposition. Any public reprimand shall be printed as soon as possible in *The Caspian Horse News* or any CHSA publication, and shall include a concise statement of the facts of the case as well as its disposition and/or the Committee's opinion. The Ethics Committee reserves the right to approve anything issued under its name before it is printed and to include or not include names as it sees fit.

The Ethics Committee may recommend that the Board of Directors enact any of the penalties set forth in the CHSA Rules and Regulations, Penalties 170-176 and additionally may recommend removal from the CHSA Breeders List for any period of time. Any person against whom a complaint is found valid and receives suspension, probation, censure or reprimand for violations concerning buying, selling, leasing, transferring, or registering will be listed on an attachment to the CHSA Breeders List during the time the penalty is in effect and for 18 months thereafter.

If no response to the complaint is forthcoming in the time allotted by the Ethics Committee, making mediation impossible; and the majority of the Ethics Committee members feels that the complaint has validity, all Registry paperwork may be temporarily suspended and the party so notified ..

If a recommendation from the Ethics Committee is not adopted by the Board of Directors, the disposition of such complaint shall become the responsibility of the Board of Directors and all parties involved shall be so notified by the Ethics Committee.

All complaints received by the Ethics Committee, regardless of their disposition, shall be copied to the CHSA Office. All opinions and recommendations of the Ethics Committee shall be kept in a publicly available file in the CHSA Office.

**LICENSED OFFICIALS COMMITTEE OF
THE CASPIAN HORSE SOCIETY OF THE AMERICAS**

LO-010 Licensed Officials Committee

- A. The Licensed Officials Committee will act upon every completed application for enrollment, renewal, promotion and classification of judges. Three members of the Committee constitute a quorum. The Committee will issue a judge's card to each approved applicant.
- B. **Applications**
1. The Committee will carefully review all applications, correspond with references, and consider any other relevant information submitted to the Committee. The Committee may, at its discretion, refuse to renew a judge's card at its expiration. In such instances, the fee will be refunded to the applicant.
- C. **Hearings**
1. Any person whose application for enrollment, renewal or promotion has been denied may request a hearing of the Licensed Officials Committee to review the decision. The request must be made in writing and mailed to the CHSA office within 30 days from the receipt of the decision sought to be reviewed. A non-refundable fee of \$50.00 (payable to the Caspian Horse Society) must accompany the request for hearing.
 2. The hearing shall be held after ten (10) days written notice to all concerned parties. The notice shall contain a brief statement of the facts supporting the position of the Committee, and shall specify the time and place at which the hearing is to be held. The person requesting the hearing may bring witnesses, sworn statements or other evidence in his behalf. Upon the written request of a representative of the Committee or the person requesting the hearing, there shall be furnished before the hearing any evidence to be introduced, and names of witnesses and the substance of their testimony.
 3. The decision of the Licensed Officials Committee shall be subject to further appeal only to the CHSA Executive Committee.

LO-020 Classification of Judges

- A. Designation as a CHSA-approved judge is a privilege, not a right, bestowed by the Licensed Officials Committee according to procedures formulated by it, to individuals whose equine expertise and personal character merit the honor. An approved judge's conduct as a member, exhibitor, breeder, and judge, as well as his or her ability, **MUST** be exemplary. Each approved judge's status is subject to continual review by the Committee, and is revocable by the Committee with or without notice and formal hearing.

Caspian Breed Type and Standard

Prepared by Louise L. Firouz

Adopted by the International Caspian Society and all Caspian Horse National Affiliates

- General* The Caspian is a horse, not a pony, and therefore should be viewed in the same manner as when judging a Thoroughbred, i.e. the limbs, body and head should all be in proportion to each other. Foreshortened limbs or a head out of proportion to neck or body are faults. The overall impression should be of a well-bred, elegant horse in miniature.
- Eyes* Almond shape, large, dark, set low, often prominent.
- Nostrils* Large, low set, finely chiseled, capable of considerable dilation during action.
- Ears* Short, wide apart, alert, finely drawn, often noticeably in-pricked at the tips.
- Head* Wide, vaulted forehead (in most cases the parietal bones, do not form a crest but remain open to the occipital crest). Frontal bone should blend into nasal bone in a pleasing slope. Very deep, prominent jaw bone and great width between jaw bones where they join at throat. Head tapers to a fine, firm muzzle.
- Neck* Long, supple neck with a finely modeled throat latch.
- Shoulder/
Withers* Long, sloping, well modeled, with good wither.
- Body* Characteristically slim, with deep girth. Chest width in proportion to width of body. It is a fault to have "both legs out of the same hole". Close-coupled, with well-defined hindquarters and good 'saddle space'.
- Quarters* Long and sloping from hip to point of buttocks. Great length from stifle to hock.
- Hocks* Owing to their mountain origin Caspian's may have a more angled hock than lowland breeds.
- Limbs* Characteristically slender with dense, flat bone and flat knees. Good slope to pasterns, neither upright nor over-sloping.
- Hoofs* Both front and back are oval and neat, with immensely strong wall and sole, and very little frog. It must be emphasized, however, that this might vary with the location and terrain and hoofs should be maintained in their natural shape to ensure correct hoof balance and soundness. They should never be artificially shaped.
- Coat/Skin
Hair* Skin thin, fine and supple, dark except under white markings. Coat silky and flat, often with iridescent sheen in summer. Thick winter coat. Mane and tail abundant but fine and silky. Mane usually lies flat (as in Thoroughbreds) but can grow to great lengths. Tail carried gaily in action. Limbs generally clean with little or no feathering at the fetlock.
- Colors* All colors except piebald or skewbald (pinto). Grays will go through many shades of roan before fading to near white at maturity.
- Height* Varies with feeding, care and climate. Growth rate in the young is extremely rapid, with the young Caspian making most of its height in the first 18 months, filling out with maturity. The average height is 11.2 hands and ideally should not exceed 12.2 hands.
- Action/
Performance* Natural floating action at all gaits. Long, low swinging trot with spectacular use of the shoulder. Smooth, rocking canter, rapid flat gallop. Naturally light and agile with exceptional jumping ability.
- Temperament* Highly intelligent and alert, but very kind and willing.

The Caspian Breed Type and Standard may not be reproduced unless it is done so in its entirety, free of omissions or amendments. CHSA 11/2008

